

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Scott Hock et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Herewith

Group Art Unit: Unkn.

Title: FLUID EJECTION ASSEMBLY

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

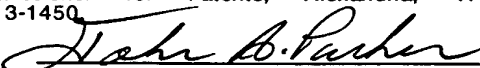
It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV310987771US

Date of Deposit July 3, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

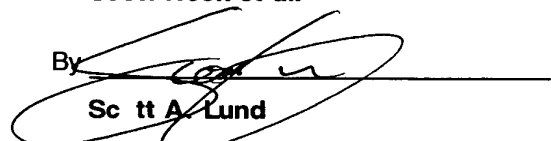


Typed Name: John A. Parker

Respectfully submitted,

Scott Hock et al.

By



Scott A. Lund

Attorney/Agent for Applicant(s)

Reg. No. 41,166

Date: July 3, 2003

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 200209661-1	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT Scott Hock t al.		
	FILING DATE H rewith	GROUP Unkn.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,412,224	10/1983	Sugitani	
	1B	4,438,191	03/1984	Cloutier et al.	
	1C	4,567,493	01/1986	Ikeda et al.	
	1D	4,596,994	06/1986	Matsuda et al.	
	1E	4,611,219	09/1986	Sugitani et al.	
	1F	4,646,110	02/1987	Ikeda et al.	
	1G	4,680,595	07/1987	Cruz-Uribe et al.	
	1H	4,695,854	09/1987	Cruz-Uribe	
	1I	4,730,197	03/1988	Raman et al.	
	1J	4,777,494	10/1988	Shibata et al.	
	1K	4,894,664	01/1990	Tsung Pan	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER	DATE CONSIDERED
----------	-----------------

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200209661-1		
	APPLICANT		
	Scott Hock t al.		
	FILING DATE	GROUP	
	Herewith	Unkn.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	4,897,668	01/1990	Nagato et al.	
	2B	4,905,017	02/1990	Sugitani et al.	
	2C	4,929,964	05/1990	Sato et al.	
	2D	4,965,594	10/1990	Komuro	
	2E	5,068,674	11/1991	Sato et al.	
	2F	5,132,707	07/1992	O'Neill	
	2G	5,163,177	11/1992	Komura	
	2H	5,165,061	11/1992	Witteveen	
	2I	5,469,199	11/1995	Allen et al.	
	2J	5,565,900	10/1996	Cowger et al.	
	2K	5,592,203	01/1997	Thiel et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 200209661-1	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT Scott Hock t al.		
	FILING DATE H r with	GROUP Unkn.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	3A	5,748,214	05/1998	Usui et al.	
	3B	5,752,303	05/1998	Thiel	
	3C	5,802,687	09/1998	Thiel et al.	
	3D	5,825,382	10/1998	Thiel	
	3E	5,880,756	03/1999	Ishii et al.	
	3F	5,883,651	03/1999	Thiel et al.	
	3G	5,956,059	09/1999	Usui	
	3H	6,044,646	04/2000	Silverbrook	
	3I	6,135,586	10/2000	McClelland et al.	
	3J	6,155,674	12/2000	Figueredo et al.	
	3K	6,206,501	03/2001	Ishii et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	3L					
	3M					
	3N					
	3O					
	3P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	3Q	
	3R	
	3S	

EXAMINER

DATE CONSIDERED

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 200209661-1	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT Scott H ck et al.		
	FILING DATE Her with	GROUP Unkn.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	4A	6,209,991	04/2001	Regan et al.	
	4B	6,281,912	08/2001	Silverbrook	
	4C	6,286,939	09/2001	Hindman et al.	
	4D	6,328,428	12/2001	Keefe et al.	
	4E	6,378,991	04/2002	Lim et al.	
	4F	6,409,323	06/2002	Silverbrook	
	4G	6,457,796	10/2002	Fujii	
	4H				
	4I				
	4J				
	4K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	4L					
	4M					
	4N					
	4O					
	4P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	4Q	
	4R	
	4S	

EXAMINER	DATE CONSIDERED
----------	-----------------